

FORM PTO-1390 REV. 10/2003		US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEYS DOCKET NUMBER <b>P05,0173</b>	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <b>10/535332</b>	
INTERNATIONAL APPLICATION NO. PCT/DE03/03457		INTERNATIONAL FILING DATE October 17, 2003		PRIORITY DATE CLAIMED November 18, 2002	
TITLE OF INVENTION <b>METHOD FOR THE PRODUCTION OF AND PROTECTIVE LAYER FOR A LAYER OF LUMINESCENT MATERIAL</b>					
APPLICANT(S) FOR DO/EO/US <b>Manfred Fuchs, et al.</b>					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
<ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</li> <li>4. <input checked="" type="checkbox"/> The US has been elected (Article 31).</li> <li>5. <input checked="" type="checkbox"/> A copy of International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> has been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)</li> </ol> </li> <li>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is attached hereto.</li> <li>b. <input type="checkbox"/> have been previously submitted under 35 U.S.C. 154(d)(4).</li> </ol> </li> <li>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3)) <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li>10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol>					
<b>Items 11. to 16. below concern other document(s) or information included:</b>					
<ol style="list-style-type: none"> <li>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.</li> <li>12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>13. <input checked="" type="checkbox"/> A preliminary amendment and 1 Replacement Drawing Sheet (Figs. 1 and 2).</li> <li>14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.</li> <li>15. <input checked="" type="checkbox"/> A substitute specification and Marked Substitute Specification.</li> <li>16. <input type="checkbox"/> A power of attorney and/or change of address letter.</li> <li>17. <input type="checkbox"/> A computer readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 – 1.825.</li> <li>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</li> <li>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</li> <li>20. <input checked="" type="checkbox"/> Other items or information: <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> Submission of Drawings:</li> <li>b. <input checked="" type="checkbox"/> EXPRESS MAIL # EV 635785172 US</li> </ol> </li> </ol>					

**JC20 Rec'd PCT/PTO 18 MAY 2005**

U.S. APPLICATION NO. <b>10/535332</b> (37 C.F.R. 1.5)		INTERNATIONAL APPLICATION NO. PCT/DE03/03457		ATTORNEY'S DOCKET NUMBER P05,0173		
21. <input checked="" type="checkbox"/> The following fees are submitted: <b>BASIC NATIONAL FEE (37 C.F.R. 1.492 (a) (1) - (5):</b> <span style="float: right;">Small Entity</span>  International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... \$1,000.00    500.00  <p align="center"><b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b></p>				CALCULATIONS	PTO USE ONLY	
				\$1,000.00		
Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).						
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	Small Entity		
Total Claims	13		X \$50.00	25.00		
Independent Claims	2		X \$200.00	100.00		
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			\$300.00+			
<b>TOTAL OF ABOVE CALCULATIONS =</b>					\$1,000.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.						
<b>SUBTOTAL =</b>					\$1,000.00	
Processing fee of <b>\$130.00</b> for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). <span style="float: right;">+</span>					0	
<b>TOTAL NATIONAL FEE =</b>					\$1,000.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00</b> per property <span style="float: right;">+</span>					<b>\$40.00 (See attached Separate Envelope and Check)</b>	
<b>TOTAL FEES ENCLOSED =</b>						
					Amount to be refunded	\$
					charged	\$
a. <input checked="" type="checkbox"/> A check in the amount of <b>\$1,000.00</b> and a check in the amount of <b>\$40.00</b> to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$_____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <b>501519</b> . A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. <b>Credit card information should not be included on this form.</b> Provide credit card information and authorization on PTO-2038.						
<b>NOTE:</b> Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.						
<b>SEND ALL CORRESPONDENCE TO:</b>  <b>Schiff Hardin LLP</b> <b>Patent Department</b> <b>6600 Sears Tower</b> <b>Chicago, Illinois 60606-6473</b> <b>Customer Number 26574</b>			<div style="text-align: center;">            _____  <b>SIGNATURE</b> </div> <div style="text-align: center; margin-top: 10px;"> <b>Mark Bergner</b>            _____  <b>NAME</b> </div> <div style="text-align: center; margin-top: 10px;"> <b>45,877</b>            _____  <b>REGISTRATION NUMBER</b> </div>			

10/535332

**CERTIFICATE OF MAILING BY U.S. EXPRESS MAIL**

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18 MAY 2005

**"Express Mail" EV 635785172US**

**Date of Deposit:** May 18, 2005

I hereby certify that this correspondence is being deposited with the United States Postal "Express Mail Post Office to Addressee" service under 37 CFR 1.10(c) on the date indicated above and is addressed to:

**Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**

**Case Number: P05,0173**

**International Application No.  
PCT/DE03/03457**

**International Filing Date  
October 17, 2003**

**Priority Date Claimed  
November 18, 2002**

**Title:** "Method for the Production of and Protective Layer for a Layer of Luminescent Material"

**Applicant(s):** Manfred Fuchs, et al.

**Executed Assignment, PTO 1595 form, \$40.00  
filing fee, postcard, in attached envelope to be  
forwarded to the Assignment Branch—MAIL STOP PCT.**

  
\_\_\_\_\_  
Signature of person mailing documents and fee

10/535332

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**Siemens AG**  
**New PCT Application**  
**Case No. P05,0173 (26965-3415)**  
**Client Ref. No. 2002P10408 WOUS**  
**Inventor: Fuchs et al.**

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10 Translation / 3 May 2005 / Bullock / 2380 words